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PATENT EXAMINER: JEANINE ANNE GOLDBERG

FAX NO.

571.273.8300

FROM'

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Re: US Serial No.: 10/796,280 filed: 03/10/2004

Entitled: "GENETIC POLYMORPHISMS ASSOCIATED WITH STENOSIS, METHODS OF

DETECTION AND USES THEREOF"
Atty. Docket No.: CL001510ORD

Attached: RESPONSE TO RESTRICTION REQUIREMENT; TRANSMITTAL FORM

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PTO/SE/21 (09-04)
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Under the Pagework Reduction Act of 1995, no persons are monited to assessed to a collection of information unless it displays a valid OMB control number. Application Number 10/798.280 TRANSMITTAL Filing Date March 10, 2004 **FORM** First Named Inventor Michele CARGILL Art Unit 1034 Examiner Name Jeanine Anne Goldborg (10 he used for ell correspondance after initial filing) Attorney Docket Number CL15100RD Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a After Final **Provisional Application** Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request Response to restriction requirement (3 pgs); Request for Refund Express Abandonment Request Fax cover sheet (1pg) Information Disclosure Statement CD, Number of CD(s) Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Celera Diagnostics Signature Printed name Ben Wang Date April 13, 2008 Reg. No. 41,420 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facelmillo transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Ben Wang Typed or printed name Date April 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated at hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burdon, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: CL1510ORD

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cargill, et al.

Art Unit: 1634

Serial No.: 10/796,280

Examiner: Jeanine A. Goldberg

Filed: March 10, 2004

Atty. Docket No.: CL1510ORD

For: GENETIC POLYMORPHISMS

Response to Restriction Requirement

ASSOCIATED WITH STENOSIS, METHODS

OF DETECTION AND USES THEREOF

Response to Restriction Requirement under 35 U.S.C. 121

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This correspondence is in response to the Restriction Requirement mailed March 13, 2006 for the above-identified application, setting a 30 days/1 month period for the response. The response herein is thus submitted timely.

In the Restriction Requirement, the Examiner requested Applicants elect one of the following inventions:

Group I, Claims 1-6, and 21-22, drawn to a method for identifying an individual who has an altered risk for developing stenosis.

Group II, Claims 7-9, and 13-20, drawn to a nucleic acid.

Group III, Claim 10, drawn to a polypeptide.

Group IV, Claims 11-12, drawn to an antibody.

Group V, Claim 23, drawn to a method for detecting a variant polypeptide.

Group VI, Claim 24, drawn to a method for identifying an agent.

The Examiner also issued a further restriction requirement to all groups having more than one nucleotide sequence.

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Applicants hereby provisionally elect, <u>with traverse</u>, to prosecute Group I, claims 1-6, and 21-22, drawn to a method for identifying an individual at risk for stenosis, using in particular nucleotide sequence hCV25930271, SEQ ID NO. 19350.

In requiring Applicants to select only one sequence to prosecute, the Examiner noted that "searching more than one of the claimed patentably distinct sequences represents a serious burden for the office." See Restriction Requirement, page 7. Applicants respectfully disagree.

Applicants are mindful of the workload imposed on the Patent Office due to the increasing numbers of patent applications that are being filed and examined. However, Applicants wish to draw the Examiner's attention to MPEP Section 803.04, which addresses restriction requirement relating to nucleotide sequences. See also Examination of Patent Applications Containing Nucleotide Sequences, 1192 O.G. 68 (November 19, 1996).

There, while recognizing that nucleotide sequences "are deemed to normally constitute independent and distinct inventions", the Director "has decided *sua sponte* to partially waive the requirements of 37 CFR 1.141 *et seq.* and permit a reasonable number of such nucleotide sequences to be claimed in a single application" in the interest "to further aid the biotechnology industry in protecting its intellectual property without creating an undue burden on the Office."

The MPEP goes on to announce that it "has been determined that normally ten sequences constitute a reasonable number for examination purposes. Accordingly, in most cases, up to ten independent and distinct nucleotide sequences will be examined in a single application without restriction." MPEP Section 803.04, Eighth Edition, Revision 3, August 2005.

Therefore, Applicants hereby respectfully request that the following ten (10) nucleotide sequences in Group I to be examined together. The ten sequences are shown in the table attached below. More detailed information about these ten sequences can be found in Table 2, Table 6, Table 7, and the Sequence Listing of the patent specification.

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	<u>Marker</u>	<u> </u>	SEQ ID NO.	Source Table
1	hCV25930271	LPA	19350	7
2	hCV25594325	HAP1	24270	6
3	hCV25644769	AP3B1	17015	6
4	hCV25924894	SERPINA9	14931	7
5	bCV11474611	CALMI	34962	7
6	hCV11450563	none	33563	7
7	hCV25967803	EBNA1BP2	29980	7
8	hCV9088175	TDRKH	15992	7
9	hCV16183633	none	33679	7
10	hCV8905006	PGM1	38777	7

In the event the Examiner maintains the Restriction Requirement, Applicants reserve the right to request rejoinder of any process claims limited in scope to allowable product claims in accordance with *In re Ochiai*, and further reserve the right to prosecute the subject matter of non-elected claims in subsequent divisional applications without prejudice.

The Examiner is invited to contact the undersigned via telephone if a phone interview would expedite the prosecution of the instant patent application.

Respectfully submitted.

By:

Ben Wang, Reg. No.: 41,420

Date: April 13, 2006

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